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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of BEN-MIZZIAN et al.

Application No. 09/869,693

Examiner:

Filed: July 03, 2001

Group Art Unit:

For: PROCESS FOR EXECUTING A FINANCIAL TRANSACTION, IN REAL TIME,  
BETWEEN TWO PARTS WHICH ARE CONNECTED THROUGH A COMPUTER  
NETWORK, AND SYSTEM FOR ITS IMPLEMENTATION

TRANSMITTAL LETTER

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being  
deposited with the U.S. Postal Service as First Class mail  
in an envelope addressed to Commissioner for Patents,  
Washington, D.C. 20231 on 9/5/01

W D P Reg. No. 40,764

Box PCT  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please find enclosed for filing:

- ✓ Response to Notification of Missing Requirements, including copy of Notification of Missing Requirements
- ✓ Signed Declaration and Power of Attorney
- ✓ Check in the amount of \$65.00
- ✓ Return receipt postcard.
- ✓ Please charge any deficiencies or credit any overpayments to Deposit Account No. 50-0951.

Respectfully submitted,

Date: 9/5/01

W D P  
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09/13/2001 SHAJARRO 00000056 09869693

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65.00 DP

Docket No. 8050-9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of BEN-MIZZIAN et al.

Application No. 09/869,693

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For: PROCESS FOR EXECUTING A FINANCIAL TRANSACTION, IN REAL TIME,  
BETWEEN TWO PARTS WHICH ARE CONNECTED THROUGH A COMPUTER  
NETWORK, AND SYSTEM FOR ITS IMPLEMENTATION

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS AND  
SUBMISSION OF SIGNED DECLARATION

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being  
deposited with the U.S. Postal Service as First Class mail  
in an envelope addressed to Commissioner for  
Patents, Washington, D.C. 20231 on 9/5/01

Mark D. Passler Reg. No. 40,764

Box PCT  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In the above-identified application, Applicants have received a Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US), form PCT/DO/EO/905, mailed August 20, 2001, indicating that the oath filed with the above-identified application on July 03, 2001 did not include the signature of the inventors. Applicants hereby submits the Declaration for the above-identified application signed by the inventors and a check in the amount of \$65 covering the surcharge required under 37 C.F.R. §1.492(e) for a small entity. Applicants have also included a copy of the Notification of Missing Requirements dated August 20, 2001. Applicants request examination on the merits.

Respectfully submitted,

Date: 9/5/01

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## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/869693	BEN-MIZZIAN	M 8050-9

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INTERNATIONAL APPLICATION NO.

PCT/ES98/00336

I.A. FILING DATE PRIORITY DATE

10 DEC 98

DATE MAILED:

AUG 2001

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> U.S. Basic National Fee.   | <input type="checkbox"/> Indication of Small Entity Status.                         |
| <input checked="" type="checkbox"/> Copy of the international application.   | <input type="checkbox"/> Translation of the international application into English. |
| <input checked="" type="checkbox"/> Oath or Declaration of inventor(s).  | <input type="checkbox"/> Translation of Article 19 amendments into English.         |
| <input type="checkbox"/> Copy of Article 19 amendments.  | <input type="checkbox"/> Other:   |
| <input checked="" type="checkbox"/> Priority Document.   |   |
| <input checked="" type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. |   |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English.        |   |

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.

7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

Enclosed: ☒ PCT/DO/EO/917 ☐ Notice of Defective Translation  
☐ PTO-875 ☐ PCT/DO/EO/920

Deborah D. Williams

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-305-3744

BEST AVAILABLE COPY